

## AUDIT COMMITTEE – 4TH SEPTEMBER 2018

### Report of the Head of Strategic Support

ITEM 09

#### WORK PROGRAMME

##### Purpose of Report

This report is submitted to enable the Committee to consider its Work Programme.

##### Action Requested

Following consideration of the Work Programme, the Committee is asked to consider any deletions, additions or amendments it wishes to make.

This will enable planning for future meetings to be undertaken, for reports and information to be prepared and for the attendance of officers and/or others to be arranged.

##### Background

The Work Programme agreed at the last meeting of the Committee is attached as an appendix for the consideration of the Committee.

To assist with the consideration of this item, the dates for future meetings of the Committee are as follows:

27th November 2018

26th February 2019

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ISSUE	MEETING
<b>Internal Audit Business</b>	Ongoing
Update on the General Data Protection Regulations (GDPR)	4 <sup>th</sup> September 2018
Internal Audit Plan – Progress	27 <sup>th</sup> November 2018  Quarterly
Risk Management (Risk Register)	27 <sup>th</sup> November 2018  Quarterly - detailed report every six months, exception report quarters in-between.
Council's Use of Regulation of Investigatory Powers Act (RIPA)	27 <sup>th</sup> November 2018  Quarterly
Treasury Management Mid-Year Review	27 <sup>th</sup> November 2018  Annually
2018/19 Treasury Management Statement, Annual Investment Strategy and MRP Strategy	26 <sup>th</sup> February 2019  Annually
2019/20 Internal Audit Plan	26 <sup>th</sup> February 2019  Annually
Annual IT Health Check (Code of Connection) <b>Confidential Report</b>	11 <sup>th</sup> June 2019  Annually
2018/19 Annual Internal Audit Report	11 <sup>th</sup> June 2019  Annually
2018/19 Review of the effectiveness of Internal Audit (Feedback from Panel)	11 <sup>th</sup> June 2019  Annually
Internal Audit Charter	11 <sup>th</sup> June 2019  Annually (for approval)
2018/19 Members' Allowances Claimed	11 <sup>th</sup> June 2019  Annually
Whistle Blowing and Anti-fraud, Corruption and Bribery	11 <sup>th</sup> June 2019  Annually
Environmental Audits – Report on Outcomes	11 <sup>th</sup> June 2019  Annually  <i>Note: Six month exception report where identified actions are not implemented by the target date.</i>
2018/19 Treasury Management	21 <sup>st</sup> June 2019

Outturn	Annually
2018/19 Statement of Accounts	July 2019 (Accounts Meeting)  Annually
2018/19 Annual Governance Statement and Review of the Code of Corporate Governance	July 2019 (Accounts Meeting)  Annually
Future of Local Public Audit	Report on Government proposals considered 5th July 2011. Further report once final regulations/guidelines are known. Note: Appointing Your External Auditor briefing note considered June 2016.
Policy for Engagement of External Auditors for non-audit work	Considered March 2013.  Review policy - date to be agreed
<b>External Audit Business</b>	Ongoing
External Audit Progress Report and Technical Update	27 <sup>th</sup> November 2018  Quarterly
2017/18 Annual Audit Letter	27 <sup>th</sup> November 2018  Annually
Certification of Claims and Returns (2018/19 Audit)	26 <sup>th</sup> February 2019  Annually
2019/20 External Audit Plan	26 <sup>th</sup> February 2019  Annually
2018/19 Annual Governance Report	July 2019 (Accounts Meeting)  Annually